

# Braids, links and cluster algebras

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# Plan

- ① Cluster algebras
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  - ▶ The Laurent phenomenon
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- ② Braid varieties
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  - ▶ Examples
- ③ Algebraic weaves
  - ▶ Opening crossings
  - ▶ Weaves and tori
  - ▶ Inductive torus
  - ▶  $s$ -variables and cluster variables

# I. Cluster algebras

# Cluster algebras

Cluster algebras were defined by Fomin and Zelevinsky around the year 2000. A cluster algebra  $\mathcal{A}$  is a subalgebra of the field

$\mathbb{C}(x_1, \dots, x_n, y_1, \dots, y_m)$  of rational functions in  $n + m$ -variables, generated by a collection of sets of cardinality  $n + m$  called **clusters**.

All clusters contain the variables  $y_1, \dots, y_m$  (called **frozen variables**) and, starting from the **initial cluster**

$$\mathbf{x} := \{x_1, \dots, x_n, y_1, \dots, y_m\}$$

one may reach all other clusters by iterating a combinatorial rule called **mutation**. The mutation is encoded by a **quiver** with  $n$  **mutable vertices** and  $m$  **frozen vertices**, and one is allowed to mutate only at the mutable vertices.

# Mutation

Consider the initial cluster  $\mathbf{x} = \{x_1, \dots, x_n, y_1, \dots, y_m\}$  and a quiver  $Q$  with  $m + n$  vertices, numbered  $1, \dots, n + m$ . The vertices  $n + 1, \dots, n + m$  are frozen. For  $k = 1, \dots, n$ , the mutation of the pair  $(\mathbf{x}, Q)$  is another pair  $(\mu_k(\mathbf{x}), \mu_k(Q))$  constructed as follows:

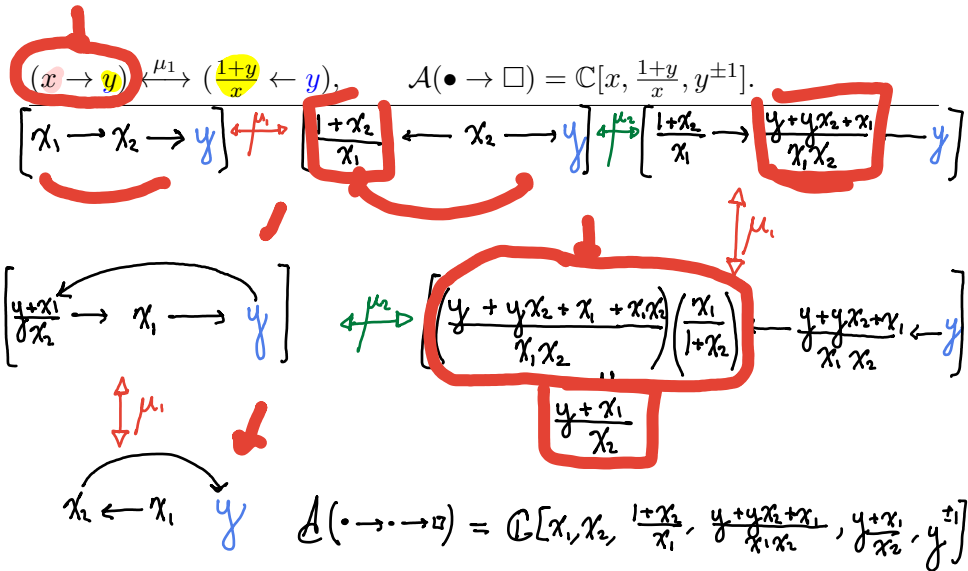
- $\mu_k(\mathbf{x}) = (\mathbf{x} \setminus \{x_k\}) \cup \{x'_k\}$  where

$$x_k x'_k = \prod_{x \rightarrow x_k} x + \prod_{x_k \rightarrow x} x$$

- $\mu_k(Q)$  is obtained by following the 3-step procedure:
  - ▶ Reversing all the arrows incident with  $k$ .
  - ▶ For each path  $i \rightarrow k \rightarrow j$  in  $Q$ , add a new arrow  $i \rightarrow j$ .
  - ▶ The previous steps may have created arrows between frozen vertices, as well as two-cycles. Delete these.



# Examples



# The Laurent Phenomenon

$$\mathcal{A} \subseteq \bigcap_{\tilde{x} \text{ cluster}} \mathbb{C}[\tilde{x}^{\pm 1}]$$

Theorem (The Laurent Phenomenon, Fomin-Zelevinsky 2000)

Let  $\mathcal{A}$  be a cluster algebra, and let  $\tilde{x}$  be any cluster (not necessarily the initial one). Then,

$$\mathcal{A} \subseteq \mathbb{C}[\tilde{x}^{\pm 1}].$$

Mutation may get incredibly complicated, but we can always cancel things so that the end-result is a *Laurent* polynomial! Observe that we have  $\tilde{x} \subseteq \mathcal{A}$  so localizing we get an equality:



$$\mathcal{A}[\tilde{x}^{\pm 1}] = \mathbb{C}[\tilde{x}^{\pm 1}]$$

$$X \supseteq \{ \tilde{x}_i \neq 0 \mid \tilde{x}_i \in \tilde{X} \} \cong (\mathbb{C}^*)^{n+g}$$

Cluster tori

# Cluster varieties

## Definition

Let  $X$  be an affine algebraic variety. We say that  $X$  is a **cluster variety** if there exists a cluster algebra  $\mathcal{A}$  such that

$$X = \text{Spec}(\mathcal{A}).$$

Examples of cluster varieties include:

- The basic affine space  $G/U$  (Fomin-Zelevinsky).
- The affine cone over the Grassmannian  $\text{Gr}(k, n)$  (Scott, Postnikov, Oh, Speyer...)
- The affine cone over parabolic flag varieties (Geiss-Leclerc-Schroer)
- Double Bruhat cells (Berenstein-Fomin-Zelevinsky)
- Positroid varieties (Galashin-Lam, Serhiyenko-Sherman-Bennett-Williams)



# Why?

Why would we like to have a cluster structure on an affine variety  $X$ ?

- Notions of positive part of  $X$  (Fomin-Zelevinsky, Schiffler-Lee, Gross-Hacking-Keel-Kontsevich)
- (In nice cases) An explicit basis of  $\mathbb{C}[X]$  (Gross-Hacking-Keel-Kontsevich)
- (In nice cases) Mirror symmetry for  $X$  (Fock-Goncharov, Gross-Hacking-Keel-Kontsevich)
- (In nice cases) Information about the cohomology of  $X$ .

## Theorem (Lam-Speyer)

*For nice enough  $Q$ , the cluster variety  $X$  is a smooth affine algebraic variety. Moreover, the mixed Hodge structure on the cohomology  $H^*(X, \mathbb{Q})$  is of mixed Tate type, and it is split over  $\mathbb{Q}$  (in particular, it is a direct sum of pure Hodge structures).*

## II. Braid varieties (Type A)

# The symmetric group

Fix  $n > 0$ . We recall the Coxeter presentation of the symmetric group.

## Definition

The symmetric group  $S_n$  is the group with generators  $s_i$ ,  $i = 1, \dots, n - 1$  and relations

- $s_i s_j = s_j s_i$  if  $|i - j| > 1$ ,
- $s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1}$ .
- $s_i^2 = 1$ .

Braid rel

||  
(i, i+1)

In terms of the usual definition of the symmetric group,  $s_i = (i, i + 1)$ .

## Definition

The *length* of an element  $w \in S_n$  is the minimum number of  $s_i$ 's we need to write  $w$ . Equivalently,

$$\ell(w) = \{(i, j) \mid 1 \leq i < j \leq n, w(j) < w(i)\}$$

Note that  $S_n$  has a unique element of maximal length, that we denote by  $w_0 = (n, n-1, \dots, 2, 1)$

# Positive braid monoid

$$\sigma_i = \left( \begin{array}{c} 1 \\ \vdots \\ i \\ \vdots \\ i+1 \\ \vdots \\ n \end{array} \right) \cdot \left( \begin{array}{c} i \\ \vdots \\ i+1 \\ \vdots \\ i \\ \vdots \\ i+1 \\ \vdots \\ n \end{array} \right) \cdot \dots \cdot \left( \begin{array}{c} i \\ \vdots \\ i+1 \\ \vdots \\ i \\ \vdots \\ i+1 \\ \vdots \\ n \end{array} \right)$$

Fix  $n > 0$ .

## Definition

The positive braid monoid  $B_n^+$  is the group with generators  $\sigma_i$ ,  $i = 1, \dots, n-1$  and relations

- $\sigma_i \sigma_j = \sigma_j \sigma_i$  if  $|i - j| > 1$ ,  $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$ .

We will call elements of  $B_n^+$  *positive braids*.

We have a surjection  $\varpi : B_n^+ \rightarrow S_n$ , given by  $\sigma_i \mapsto s_i$ .

## Definition

The length of an element  $\beta \in B_n^+$  is the minimum number of  $\sigma_i$ 's we need to write it. If  $w \in S_n$ , we denote by  $\beta(w) \in B_n^+$  its unique lift of minimal length. Note that  $\ell(w) = \ell(\beta(w))$ .

# Demazure products $\Omega(\sigma_1 \sigma_1 \sigma_2 \sigma_2) = s_1 s_2$

Let  $\beta = \sigma_{i_1} \cdots \sigma_{i_\ell} \in \text{Br}_n^+$  be a *positive* braid in  $n$  strands. The *Demazure* (aka greedy, aka *0-Hecke*) product of  $\beta$ ,  $\delta(\beta) \in S_n$  is defined inductively on  $\ell = \ell(\beta)$  as follows:

$$\delta(1) = 1 \in S_n$$

$$\delta(\beta \sigma_i) = \begin{cases} \delta(\beta) s_i & \text{if } \ell(\beta) < \ell(\beta \sigma_i) \\ \delta(\beta) & \text{else.} \end{cases}$$

It is easy to check that this does not depend on the chosen braid word of  $\beta$ .

## Example

$\delta(\beta) = w_0$  if and only if  $\beta$  contains  $\beta(w_0)$  as a (not necessarily consecutive!) subword.

## The flag variety

$$F^{\mathbf{I}} = 0 \subseteq \langle e_1 \rangle \subsetneq \langle e_1, e_2 \rangle \subsetneq \dots \subsetneq \mathbb{C}^n$$

std flag ↗  
 $F^{\mathbf{B}}$  upper triangular matrix

We will consider the flag variety

$$\mathcal{F}_n := \{F_{\bullet} = (0 = F_0 \subseteq F_1 \subseteq \dots \subseteq F_n = \mathbb{C}^n) \mid \dim F_i = i\}$$

If  $A \in \text{GL}_n$ , we denote by  $F^A$  the flag

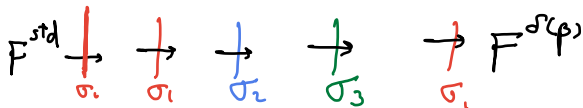
$$F_i^A := \text{span}\{\text{first } i \text{ columns of } A\}$$

This gives us the usual identification  $\mathcal{F}_n = \text{GL}_n / B$ . Note that we also get a natural action of  $S_n$  on  $\mathcal{F}_n$ .

### Definition

The *standard flag* is  $F^{\text{std}} = F^I$ . If  $w \in S_n$ , we have  $F^w \in \mathcal{F}_n$ .

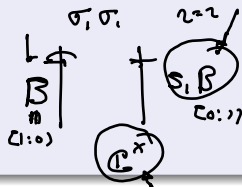
# Brick varieties



## Definition

Let  $\beta = \sigma_{i_1} \cdots \sigma_{i_\ell} \in \text{Br}_n^+$ . We define the *open brick variety* to be the subvariety  $\text{brick}^\circ(\beta) \subseteq \mathcal{F}_n^{\ell+1}$  consisting of tuples  $(\mathcal{F}^0, \dots, \mathcal{F}^\ell)$  satisfying:

- $\mathcal{F}^0 = \mathcal{F}^{\text{std}}$ .
- $\mathcal{F}_{i_{j+1}}^j \neq \mathcal{F}_{i_{j+1}}^{j+1}$ ,  $\mathcal{F}_i^j = \mathcal{F}_i^{j+1}$  for  $i \neq i_{j+1}$ .
- $\mathcal{F}^\ell = \delta \mathcal{F}^{\text{std}}$ .



## Remark

The (closed) brick variety is defined by relaxing the condition that two consecutive flags *must* differ: they are allowed to be the same. Note that  $\text{brick}^\circ(\beta)$  does not depend on the chosen braid word of  $\beta$ , but  $\text{brick}(\beta)$  may.

# Braid varieties

For  $i = 1, \dots, n - 1$  and  $z \in \mathbb{C}$ , we denote by  $B_i(z)$  the matrix that is the identity everywhere except at the  $i$  and  $i + 1$ -st row and columns, where it is the matrix

$$\begin{pmatrix} 0 & 1 \\ 1 & z \end{pmatrix}$$

$i$     $i+1$

## Definition

Let  $\underline{\beta} \in \text{Br}_n^+$  be a positive braid with Demazure product  $\underline{\delta}$ . We define the *braid variety*  $X(\beta) \subseteq \mathbb{C}^\ell$  to be:

$$X(\beta) := \{(z_1, \dots, z_\ell) \in \mathbb{C}^\ell \mid B_{i_1}(z_1) \cdots B_{i_\ell}(z_\ell) \underline{\delta}^{-1} \text{ is upper triangular}\}$$

vanishing of (?) equations



# Open Brick varieties = varieties

Note that, if  $B \in \mathrm{GL}_n$ , then the flags that differ from  $(F^B)$  at precisely the  $i$ -th subspace are precisely those of the form  $F^{BB_i^{-1}}(z)$  for  $z \in \mathbb{C}$ .

## Lemma

$$X(\underbrace{\sigma_{i_1} \cdots \sigma_{i_\ell}}) \cong \mathrm{brick}^\circ(\underbrace{\sigma_{i_\ell} \cdots \sigma_{i_1}})$$

## Remark

- The variety  $X(\beta)$  does *not* depend on the braid word chosen for  $\beta$ . Actually,  $\underline{B}_i(z_1)\underline{B}_{i+1}(z_2)\underline{B}_i(z_3) = \underline{B}_{i+1}(z_3)\underline{B}_i(z_2 - z_1z_3)\underline{B}_{i+1}(z_1)$ .
- If  $\beta = \beta(w)$  for some  $w \in S_n$ , then  $X(\beta) = \mathrm{pt}$ .
- From now on, we will assume that  $\delta(\beta) = w_0$ . Indeed, it is easy to see that  $X(\beta) \cong X(\beta \cdot \beta(\delta^{-1}w_0))$ .

# Example: 2-stranded braids



Let us consider the braid  $\sigma^4 \in B_2^+$ . We have

$$\begin{aligned}
 B_{\sigma^4}(z_1, \dots, z_4) &= \begin{pmatrix} 0 & 1 \\ 1 & z_1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & z_2 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & z_3 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & z_4 \end{pmatrix} \\
 z_1 + z_2 + z_1 z_2 z_3 \neq 0 &= \begin{pmatrix} 1 & z_2 \\ z_1 & 1 + z_1 z_2 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & z_3 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & z_4 \end{pmatrix} \\
 z_4 = \frac{-1 - z_1 z_2}{z_1 + z_3 + z_1 z_2 z_3} &= \begin{pmatrix} z_2 & 1 + z_2 z_3 \\ 1 + z_1 z_2 & z_1 + z_3 + z_1 z_2 z_3 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & z_4 \end{pmatrix} \\
 &= \begin{pmatrix} 1 + z_2 z_3 & z_2 + z_4 + z_2 z_3 z_4 \\ z_1 + z_3 + z_1 z_2 z_3 & 1 + z_1 z_2 + z_1 z_4 + z_3 z_4 + z_1 z_2 z_3 z_4 \end{pmatrix}
 \end{aligned}$$

We can see that

$$X(\sigma^4) = \{(z_1, z_2, z_3) \in \mathbb{C}^3 \mid z_1 + z_3 + z_1 z_2 z_3 \neq 0\}.$$

# Open Richardson varieties

Let  $u, v \in S_n$  with  $u \leq v$ . The open Richardson variety  $R^\circ(u, v)$  is the intersection of the open Schubert cell  $C_v^\circ \subseteq \mathcal{F}_n$  with the opposite open Schubert cell  $C_\circ^u \subseteq \mathcal{F}_n$ ,

$$R(u, v) = C_v^\circ \cap C_\circ^u.$$

Let us denote by  $\beta(v) \in B_n^+$  a positive lift of minimal length, and similarly for  $\beta(u^{-1}w_0)$ .

## Proposition (Casals-Gorsky-Gorsky-S.)

*We have*

$$R^\circ(u, v) \cong X(\beta(v)\beta(u^{-1}w_0))$$

The isomorphism simply sends an element  $(z_1, \dots, z_\ell) \in X(\beta)$  to the flag  $F^{B_{\beta(v)}^{-1}(z_1, \dots, z_{\ell(v)})}$ .

# Properties of braid varieties

Theorem (Escobar, 2016)

The braid variety  $X(\beta)$  is a smooth algebraic variety of dimension  $\ell(\beta) - \ell(\delta(\beta))$ .

#variables

$$\delta(\beta) = w_0$$

$$\ell(\beta) - \binom{n}{2} \leftarrow \# \text{equations}$$

Theorem (Casals-Gorsky-Gorsky-Le-Shen-S. 2022)

The braid variety  $X(\beta)$  is a cluster variety.

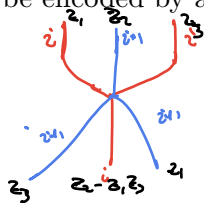
## Remark

Both of these theorems are still valid when  $G$  is an algebraic group of simply laced type.

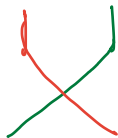
### III. Algebraic Weaves

# Algebraic Weaves, I

To study the varieties  $X(\beta)$  we define correspondences between them that can be encoded by a graphical calculus that we call *algebraic weaves*.



$$B_i(z_1)B_{i+1}(z_2)B_i(z_3) = B_{i+1}(z_3)B_i(z_2 - z_1 z_3)B_{i+1}(z_1)$$



$$B_i(z)B_j(w) = B_j(w)B_i(z) \text{ if } |i - j| > 1.$$

# Algebraic weaves

 $z \neq 0$ 

$$B_i(z) B_i(w) \\ U_i(z) L_i(z) B_i(w) = U_i(z) B_i(w + z^{-1})$$

- If  $U$  is an upper triangular matrix and  $z \in \mathbb{C}$ , then we can find  $z' \in \mathbb{C}$  and  $U'$  another upper triangular matrix such that

$$B_i(z)U = U'B_i(z')$$

Colloquially, we can “slide upper triangular matrices to the left, at the cost of a change of variables.”

- If  $z \neq 0$  then we can factor  $B_i(z)$  as  $U_i(z)L_i(z)$  where

$$U_i(z) = \begin{pmatrix} -z^{-1} & 1 \\ 0 & z \end{pmatrix}, \quad L_i(z) = \begin{pmatrix} 1 & 0 \\ z^{-1} & 1 \end{pmatrix}.$$

Moreover

$$L_i(z)B_i(w) = B_i(w + z^{-1}).$$

# Algebraic weaves

- Furthermore,  $\underbrace{B_i(0)B_i(w)} = \begin{pmatrix} 1 & w \\ 0 & 1 \end{pmatrix}$ .

More precisely, we have:

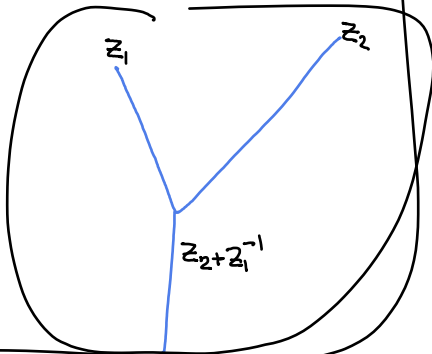
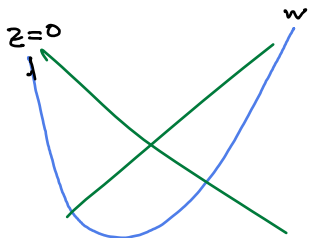
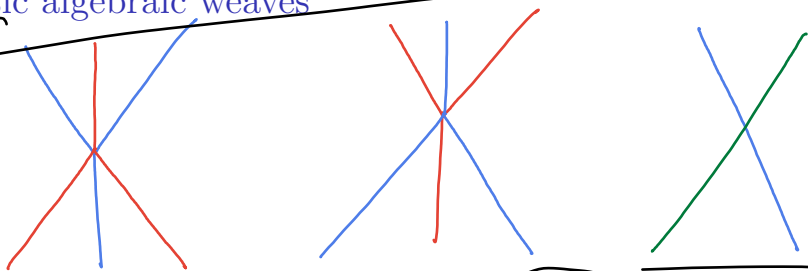
## Lemma

Let  $\beta = \beta_1 \overset{z}{\sigma_i} \sigma_i \overset{w}{\sigma_i} \beta_2$ , and let  $z, w$  be the variables corresponding to the first and second  $\sigma_i$  in the middle pair, respectively. Then,

- The locus  $\{z \neq 0\}$  in  $X(\beta)$  is isomorphic to  $\mathbb{C}_z^\times \times X(\beta_1 \overset{w+z^{-1}}{\sigma_i} \beta_2)$ .
- The locus  $\{z = 0\}$  in  $X(\beta)$  is nonempty if and only if  $\delta(\beta) = \delta(\beta_1 \beta_2)$ . In this case, the locus is isomorphic to  $\mathbb{C}_w \times X(\beta_1 \beta_2)$ .



# Basic algebraic weaves



## Weaves and tori

We denote  $\mathfrak{w} : \beta_1 \rightarrow \beta_2$  a weave whose colors all the way north read  $\beta_1$  and all the way south read  $\beta_2$ . If  $\delta(\beta_1) = \delta(\beta_2)$ , a weave  $\mathfrak{w} : \beta_1 \rightarrow \beta_2$  defines a locally closed set in  $X(\beta_1)$ , isomorphic to

$$(\mathbb{C}^\times)^{\#\text{trivalent vertices}} \times \mathbb{C}^{\#\text{cups}} \times X(\beta_2)$$

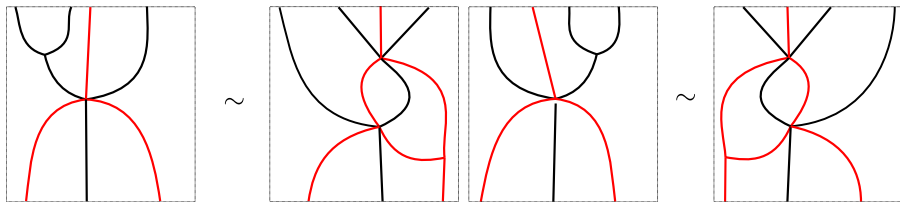
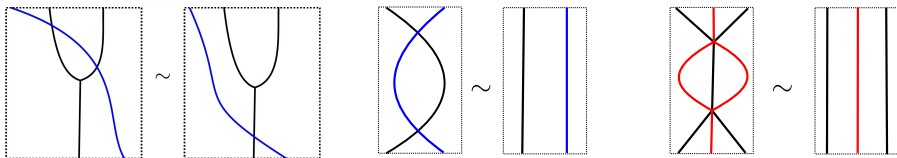
In particular,

### Remark

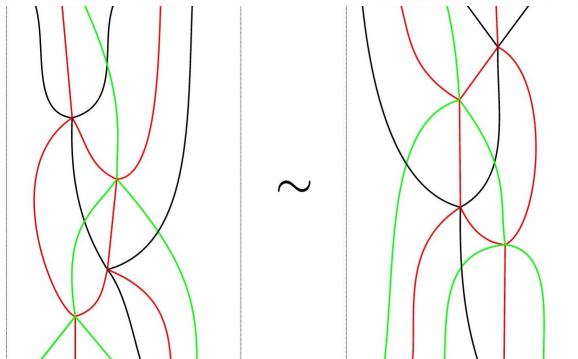
A weave without cups  $\mathfrak{w} : \beta \rightarrow \delta(\beta)$  defines an open torus in  $X(\beta)$ , isomorphic to  $(\mathbb{C}^\times)^{\ell(\beta) - \ell(\delta(\beta))}$ .

Sometimes two different weaves give the same open torus...

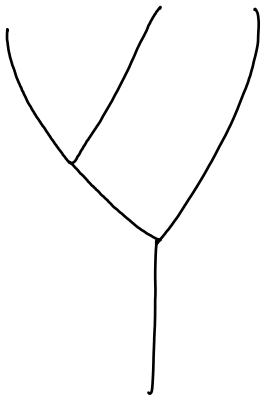
# Relations



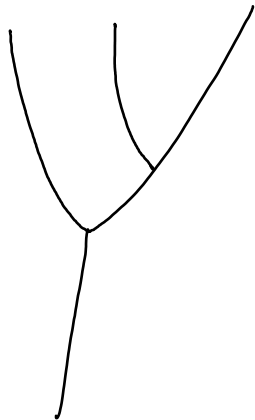
# Relations



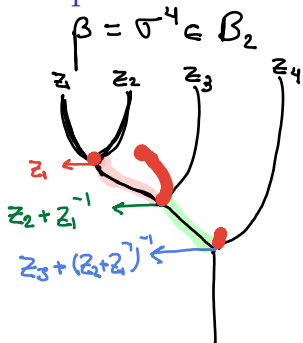
# Mutations



$\neq$



# Example



Torus with coordinates

$$S_1 = z_1, \quad S_2 = z_2 + z_1^{-1}$$

$$S_3 = z_3 + (z_2 + z_1^{-1})^{-1}$$

Not regular functions!!!

$$s_1 = z_1$$

$$s_1 s_2 = 1 + z_1 z_2$$

$$s_1 s_2 s_3 = \underline{z_1 + z_3 + z_1 z_2 z_3}$$

$$z_1 \longrightarrow -1 - z_1 z_2$$

$$\boxed{-z_1 - z_3 - z_1 z_2 z_3}$$

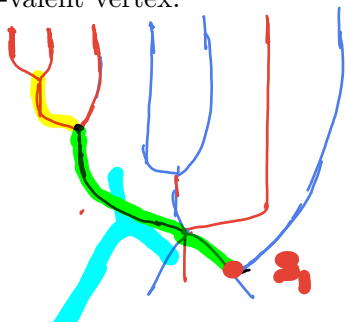
$$\frac{(-1 - z_1 z_2) + 1}{z_1} = z_2$$

$$\frac{-z_1 - z_3 - z_1 z_2 z_3 + z_1}{-1 - z_1 z_2} = z_3$$

# Inductive weaves

Let  $\beta \in \text{Br}_n^+$ . We define the inductive weave of  $\beta$ ,  $\mathfrak{w}(\beta) : \beta \rightarrow \delta(\beta)$  as follows:

- $\mathfrak{w}(1)$  is the empty weave.
- If  $\delta(\beta\sigma_i) = \delta(\beta)s_i$ , then  $\mathfrak{w}(\beta\sigma_i)$  is  $\mathfrak{w}(\beta)$  with a disjoint  $i$ -colored strand to its right.
- If  $\delta(\beta\sigma_i) = \delta(\beta)$ , then  $\mathfrak{w}(\beta\sigma_i)$  is  $\mathfrak{w}(\beta)$  followed by an  $i$ -colored 3-valent vertex.

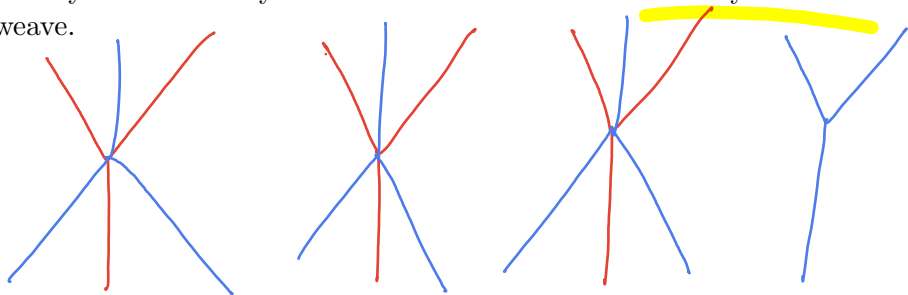


## Cycles in $\mathfrak{w}(\beta)$

We define a collection of cycles (= paths in the weave) in  $\mathfrak{w}(\beta)$  as follows. For every 3-valent vertex of  $\mathfrak{w}(\beta)$ :

- Start from the 3-valent vertex and go down.
- If we approach a hexavalent vertex from the left or right, go through.
- If we approach a hexavalent vertex from the middle, branch.
- If we hit another trivalent vertex, stop.

We say that such a cycle is *unbounded* if it falls all the way down the weave.

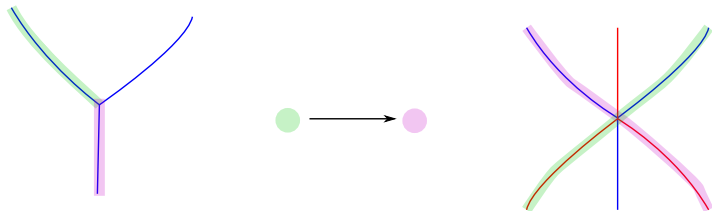




# Intersection quiver

We form a quiver from the weave  $\mathfrak{w}(\beta)$  as follows.

- Vertices = cycles in the weave = trivalent vertices in the weave.
  - ▶ Frozen vertices = unbounded cycles.
  - ▶ Mutable vertices = bounded cycles.
- Arrows: Given by the following rules (we may need to delete 2-cycles afterwards):



# Cluster variables

Now we define a basis of the torus given by the inductive weave, by performing an upper-triangular change of basis from the  $s$ -basis. Let  $v$  be a trivalent vertex in  $\mathfrak{w}(\beta)$ . We say that another trivalent vertex  $v'$  covers  $v$  if the cycle starting at  $v'$  touches  $v$ . Then define inductively:

$$c_v = \pm s_v \prod_{v' \text{ covers } v} c_{v'}.$$

**Lemma (Casals-Gorsky-Gorsky-Le-Shen-S. '22)**

*The functions  $c_v$  are regular functions on  $X(\beta)$ . If  $v$  is such that the cycle starting at  $v$  is unbounded, then  $c_v$  is nowhere vanishing on  $X(\beta)$ .*

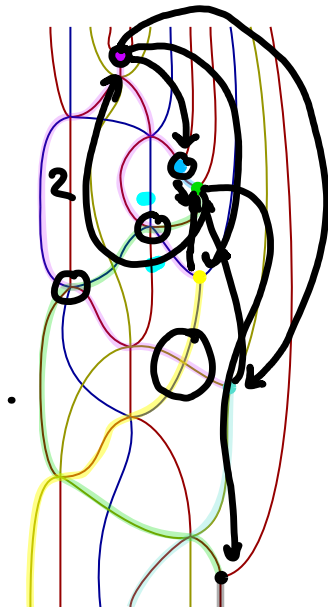
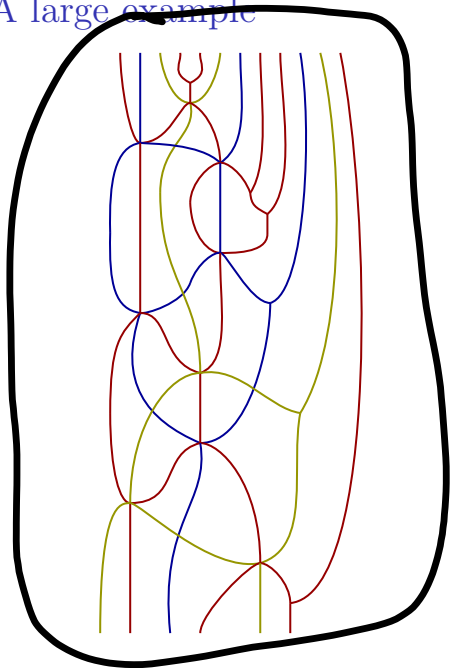
## Theorem (Casals-Gorsky-Gorsky-Le-Shen-S. '22)

Let  $\beta$  be any positive braid. Then  $X(\beta)$  is a cluster variety, with the torus given by  $\mathfrak{w}(\beta)$  being a cluster torus. The quiver and cluster variables for the initial seed are given as above, with frozen variables corresponding to unbounded cycles.

## Remarks

- Note that  $\mathfrak{w}(\beta)$  depends on the braid word for  $\beta$  and not just on  $\beta$ . Different braid words of  $\beta$  give potentially different tori in the same cluster structure, and the quivers  $Q, Q'$  are mutation equivalent.
- It would be great to give a similar procedure for *any* weave.
- Related work by B. Hwang-A. Knutson.

# A large example



Thanks for your attention!