Tasty Bits of Several Complex Variables (3)

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Complexification (traditional):

If $U \subset \mathbb{C}^n$ is a domain, $U \cap \mathbb{R}^n \neq \emptyset$, $f, g \in \mathcal{O}(U)$, and f = g on $U \cap \mathbb{R}^n$. $\Rightarrow f \equiv g$ Complexification (traditional):

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Goes the other way too: If $V \subset \mathbb{R}^n$, $f: V \to \mathbb{R}$ is real-analytic, $\Rightarrow \exists U \subset \mathbb{C}^n$ open, $V \subset U$, $F \in \mathfrak{G}(U)$, $F|_V = f$.

Proof: Given real power series $\sum_{\alpha} c_n (x - p)^n$, plug in complex numbers: $\sum_{\alpha} c_n (z - p)^n$.

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So (at any point) *f* equals

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We identify \mathbb{C}^n and $D \subset \mathbb{C}^n \times \mathbb{C}^n$ with $\iota(z) = (z, \overline{z})$.

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How to find *f*?

$$u(z,\overline{z}) = \frac{f(z) + \overline{f}(\overline{z})}{2}, \text{WLOG } f(0) = 0 \quad \Rightarrow \quad f(z) = 2u(z,0).$$

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Remark: There is no good control of the neighborhood to which *f* extends. Even in 1D: Given any interval (*a*, *b*) and any neighborhood *U* of (*a*, *b*), there is an $F \in O(U)$ that does not extend past any boundary point of *U*. So $f = F|_{(a,b)}$ also cannot extend further.

Suppose $M \subset \mathbb{C}^n$ is a hypersurface, then $f: M \to \mathbb{C}$ is a *CR function* if $X_p f = 0$ for all $X_p \in T_p^{(0,1)} M$ for all $p \in M$.

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$$\bar{w} = \Phi(z, \bar{z}, w),$$

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 Φ , $\frac{\partial \Phi}{\partial z_k}$, $\frac{\partial \Phi}{\partial \zeta_k}$ vanish at 0 and $w = \overline{\Phi}(\zeta, z, \Phi(z, \zeta, w))$. A basis for $T^{(0,1)}M$:

$$\frac{\partial}{\partial \bar{z}_k} + \frac{\partial \Phi}{\partial \bar{z}_k} \frac{\partial}{\partial \bar{w}} \quad \left(= \frac{\partial}{\partial \bar{z}_k} + \frac{\partial \Phi}{\partial \zeta_k} \frac{\partial}{\partial \bar{w}} \right), \qquad k = 1, \dots, n-1.$$

So: *M* is $\bar{w} = \Phi(z, \bar{z}, w)$, $T^{(0,1)}M$ is given by $\frac{\partial}{\partial \bar{z}_k} + \frac{\partial \Phi}{\partial \bar{z}_k} \frac{\partial}{\partial \bar{w}}$.

So: M is $\bar{w} = \Phi(z, \bar{z}, w)$, $T^{(0,1)}M$ is given by $\frac{\partial}{\partial \bar{z}_k} + \frac{\partial \Phi}{\partial \bar{z}_k} \frac{\partial}{\partial \bar{w}}$. Define the complexification $\mathcal{M} \subset \mathbb{C}^{2n}$ by $\omega = \Phi(z, \zeta, w)$ So: $M ext{ is } \bar{w} = \Phi(z, \bar{z}, w), \quad T^{(0,1)}M ext{ is given by } \frac{\partial}{\partial \bar{z}_k} + \frac{\partial \Phi}{\partial \bar{z}_k} \frac{\partial}{\partial \bar{w}}.$ Define the complexification $\mathcal{M} \subset \mathbb{C}^{2n}$ by $\omega = \Phi(z, \zeta, w)$ Complexify $f(z, w, \bar{z}, \bar{w})$ to $f(z, w, \zeta, \omega).$ So: $M ext{ is } \bar{w} = \Phi(z, \bar{z}, w), \quad T^{(0,1)}M ext{ is given by } \frac{\partial}{\partial \bar{z}_k} + \frac{\partial \Phi}{\partial \bar{z}_k} \frac{\partial}{\partial \bar{w}}.$ Define the complexification $\mathcal{M} \subset \mathbb{C}^{2n}$ by $\omega = \Phi(z, \zeta, w)$ Complexify $f(z, w, \bar{z}, \bar{w})$ to $f(z, w, \zeta, \omega)$. Now the trick: Define

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So *F* is a function of *z* and *w* only \Rightarrow *F* is holomorphic in \mathbb{C}^n . **Example:** Consider $M \subset \mathbb{C}^2$ given by Im $w = |z|^2$, that is, $\frac{w - \bar{w}}{2i} = z\bar{z}$, or in other words, \mathcal{M} is given by $\omega = -2iz\zeta + w$, and the CR vector field by $\frac{\partial}{\partial \bar{z}} - 2iz\frac{\partial}{\partial \bar{w}}$. So: $M ext{ is } \bar{w} = \Phi(z, \bar{z}, w), \quad T^{(0,1)}M ext{ is given by } \frac{\partial}{\partial \bar{z}_k} + \frac{\partial \Phi}{\partial \bar{z}_k} \frac{\partial}{\partial \bar{w}}.$ Define the complexification $\mathcal{M} \subset \mathbb{C}^{2n}$ by $\omega = \Phi(z, \zeta, w)$ Complexify $f(z, w, \bar{z}, \bar{w})$ to $f(z, w, \zeta, \omega)$. Now the trick: Define

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If $f(z, w, \overline{z}, \overline{w})$ is a CR function, the holomorphic extension is $f(z, w, \overline{z}, -2iz\overline{z} + w)$, the \overline{z} will cancel.

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Proposition: Suppose $U \subset \mathbb{C}^n$ is open with smooth boundary and $f: \overline{U} \to \mathbb{C}$ is smooth, holomorphic on U. Then $f|_{\partial U}$ is a smooth CR function.
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Proposition: Suppose $U \subset \mathbb{C}^n$ is open with smooth boundary and $f: \overline{U} \to \mathbb{C}$ is smooth, holomorphic on U. Then $f|_{\partial U}$ is a smooth CR function. Proof: Each $X_p \in T_p^{(0,1)} \partial U$ is a limit of $T^{(0,1)} \mathbb{C}^n$ vectors from inside.

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open subset. Then $f \equiv 0$.

Proof: Use Radó's theorem to extend as 0 outside (*g* in the picture), then use identity. \Box

Theorem (Radó): If $U \subset \mathbb{C}^n$ is open and $g: U \to \mathbb{C}$ continuous and holomorphic on

$$U' = \left\{ z \in U : g(z) \neq 0 \right\}.$$

Then $g \in \mathfrak{O}(U)$.



Example: Suppose $M = \mathbb{R} \subset \mathbb{C}$. Define $f: M \to \mathbb{C}$:

$$f(x) = \begin{cases} e^{-x^{-2}} & \text{if } x \neq 0, \\ 0 & \text{if } x = 0. \end{cases}$$

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Example: Define the function $f \in \overline{\mathbb{B}_2} \to \mathbb{C}$ by

$$f(z_1, z_2) = \begin{cases} e^{-1/\sqrt{z_1+1}} & \text{if } z_1 \neq -1, \\ 0 & \text{if } z_1 = -1. \end{cases}$$

Then *f* is smooth on \mathbb{B}_2 , holomorphic on \mathbb{B}_2 , but near (-1, 0) is not a restriction of a holomorphic function (only one sided extension).

There is a lot more general version, but let's just state the easy one.

Theorem (Baouendi–Trèves): Suppose $M \subset \mathbb{C}^n$ is a smooth real hypersurface, $p \in M$. Then there exists a compact neighborhood $K \subset M$ of p, such that for every CR function $f : M \to \mathbb{C}$, there exists a sequence $\{p_\ell\}$ of polynomials in z such that

 $p_{\ell}(z) \rightarrow f(z)$ uniformly in K.

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Theorem (Lewy): Suppose $M \subset \mathbb{C}^n$ is a smooth real hypersurface and $p \in M$. There exists a neighborhood U of p with the following property. Suppose $r: U \to \mathbb{R}$ is a smooth defining function for $M \cap U$, denote by $U_- \subset U$ the set where r is negative and $U_+ \subset U$ the set where r is positive. Let $f: M \to \mathbb{R}$ be a smooth CR function. Then:

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Remark: So if the Levi-form has eigenvalues of both signs, then every CR function is a restriction of a holomorphic function.

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we find an analytic disc Δ "attached" to $K \subset M$ (i.e., $\partial \Delta \subset K$).

One can fill a one-sided neighborhood by such discs.



Apply Baouendi–Trèves to find p_{ℓ} that approximate f uniformly on K. { p_{ℓ} } is (uniformly) Cauchy on $\partial \Delta$ for each disc.

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Remark: These ideas led Lewy to find the example of the unsolvable PDE.

Theorem (Hartogs–Bochner): Suppose $U \subset \mathbb{C}^n$, $n \ge 2$, is bounded open set with smooth boundary and $f : \partial U \to \mathbb{C}$ is a CR function. Then there exists a continuous $F : \overline{U} \to \mathbb{C}$ holomorphic in U such that $F|_{\partial U} = f$.

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Example: Similarly, not true in general if *U* is unbounded. If $U = \mathbb{D} \times \mathbb{C} \subset \mathbb{C}^2$, then \overline{z}_1 is a CR function, but does not extend inside for the same reason.